Alerts and Standards

Research, Development, Testing, and Evaluation (RDT&E) and Standards for Public Warning

Denis Gusty Program Manager Science and Technology Directorate September 9, 2009



Agenda

- U.S. Department of Homeland Security Science & Technology Directorate
- > Public Warning in U.S.
- Alerts and Standards Portfolio



DHS Science & Technology

Science & Technology Directorate (S&T)

- Enabling capabilities through basic research, innovation, and transition
- Based on requirements of customers, which include local, tribal, state, and Federal homeland security stakeholders

Command, Control & Interoperability Division (CID)

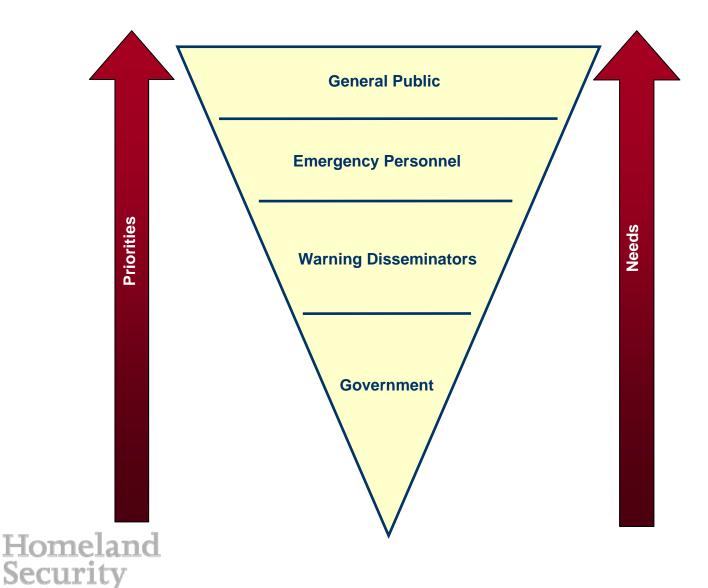
Mission: Through a practitioner-driven approach, CID creates and deploys information resources to enable seamless and secure interactions among homeland security stakeholders.

Includes technologies or methodologies to:

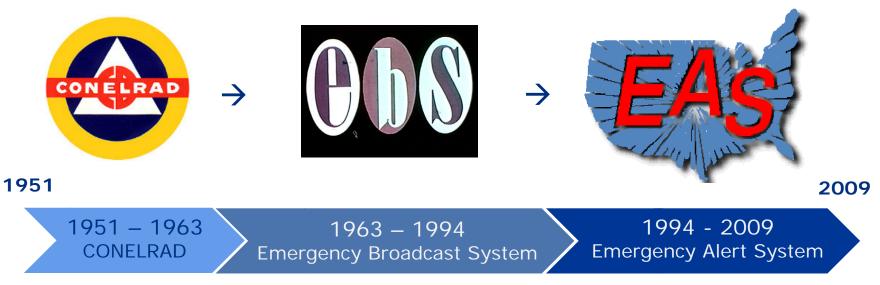
- ➢ Gather and collect information
- Manage information
- Analyze and make sense of information
- Share and communicate information
- Protect information and the systems and infrastructure that enable the communication of information.



Stakeholder Driven Approach



A History of Public Warning in US



- The first emergency alerting system CONELRAD (Control of Electromagnetic Radiation) was created in 1951 to enable the President to communicate with the Nation in the event of a nuclear attack.
- The Emergency Broadcast System replaced this system in 1963.
- In 1994, the Emergency Alert System replaced the Emergency Broadcast System.



Homeland Security

Many alerting systems currently exist....





NAWAS

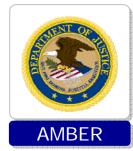
cyber threats



hazardous/nuclear materials



child abduction



health alerts

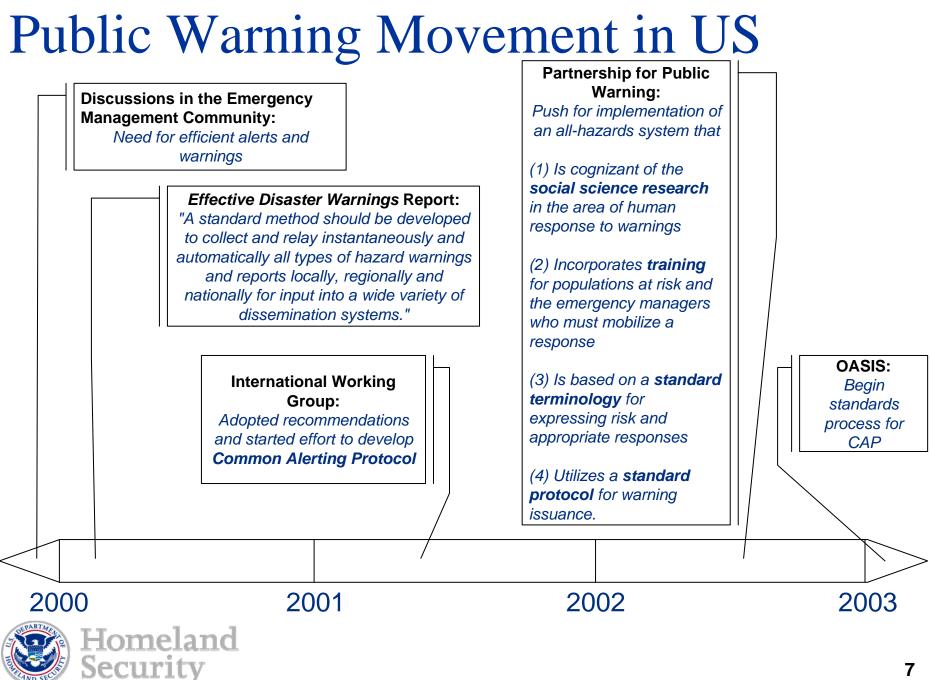


chemical & bio contamination



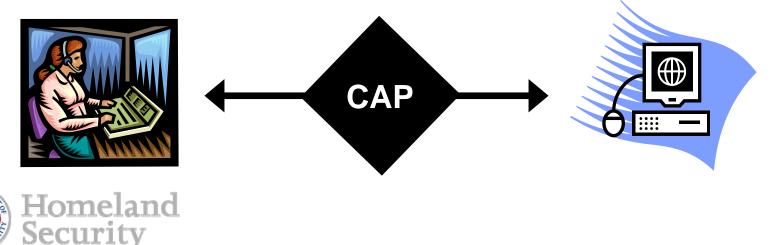
terrorist attacks





Common Alerting Protocol

- Needed because of patchwork of various technologies and proprietary systems
- DHS had a role in developing along with consensus of alert and warning community
- Standardizes the use of information to ensure it can be understood by multiple types of systems.



CAP Structure

CAP provides for:

- Carrying of meaningful information to the recipients
- Values for various routing and filter processes



alert

Message ID (identifier) Sender ID (sender) Sent Date/Time (sent) Message Status (status) Message Type (msgType) Source (source) Scope (scope) Restriction (restriction) Addresses (addresses) Handling Code (code) * Note (note) Reference IDs (references) Incident IDs (incidents)

Parameter (parameter) *

Elements in boldface are mandatory; elements in *italics* have default values that will be assumed if the element is not present; asterisks (*) indicate that multiple instances are permitted.

info resource Language (language) Description (resourceDesc) Event Category (category) * MIME Type (mimeType) * Event Type (event) File Size (size) Response Type (responseType) * URI (uri) Urgency (urgency) Dereferenced URI (derefUri) Severity (severity) Digest (digest) Certainty (certainty) Audience (audience) Event Code (eventCode) * Effective Date/Time (effective) area Onset Date/Time (onset) Area Description (areaDesc) Expiration Date/Time (expires) Area Polygon (polygon) * * Sender Name (senderName) Area Circle (circle) * Headline (headline) Area Geocode (geocode) * Event Description (description) Altitude (altitude) Instructions (instruction) Ceiling (ceiling) Information URL (web) Contact Info (contact)

Recent Public Warning-related Laws

- Executive Order 13407 (2006)
 - Established an effective, reliable, integrated, flexible, and comprehensive system to alert and warn the American people in situations of war, terrorist attack, natural disaster or other hazards to public safety and well being.
- Warning, Alert, and Response Network Act (2006)
 - Established a Commercial Mobile Alert Service capability to deliver warnings to mobile devices through voluntary participation of wireless operators



What is S&T doing for IPAWS?

Standards Development

- Develop and publish standards and protocols to assist IPAWS in its interoperability
- Product Conformity
 - Establish an independent testing process whereby a vendor may have its product tested to verify interoperability within the IPAWS system-of-systems framework
- Industry Evaluation
 - Examine new and promising technological advances and incorporate them into IPAWS
 - Establish process for identifying and tracking industry products that advance the state-of-the-art for the alert and warning community
- Technology Development
 - Establish a process for maturing Critical Technologies in order to advance the state-of-the-art for the alert and warning community.



CMAS RDT&E Program Foundation

Warning, Alert, and Response Network (WARN) Act of 2006

- Established the **Commercial Mobile Alert Service** (CMAS) to provide emergency alerts to mobile devices.
- New service provided to the public through a government-private sector partnership.

Section 604: Research, Development, Testing, and Evaluation (RDT&E)

- Utilize recommendations from subject matter expertise of Advisory Committee
- Support customers by leveraging current capabilities while influencing future capabilities to **increase the number of commercial mobile service devices that can receive emergency alerts**.

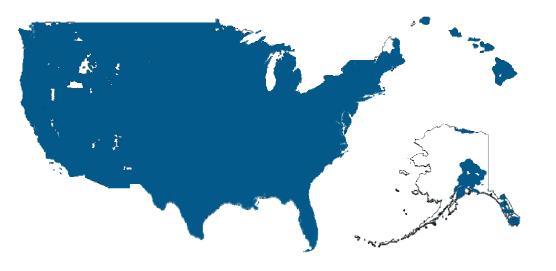
Key Program Drivers

- <u>**Relevance:**</u> Alert delivery based on geographic location, imminence of threat, native language, and accessibility of information.
- <u>Secure, Trusted, and Timely</u>: Authenticated alerts that are meaningful, carried through a secure National infrastructure, and delivered in a timely fashion.
- <u>Usability and Functionality:</u> Public response to alerts received on mobile devices is favorable to most effective emergency management and public response.



Why CMAS?

- How do you reach people where they are?
 - Wireless penetration is widespread, even reaching into subways.
 - Estimates show that due to lack of service in areas, less than 1 percent of the U.S. population is unable to access wireless networks.



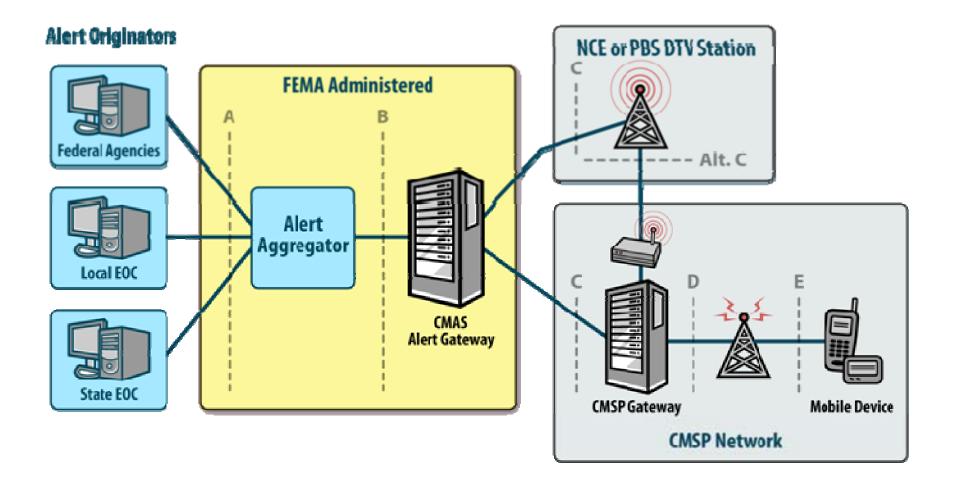


Why CMAS?

- How do we reach people during most of the day?
 - Current emergency alerting media include broadcast radio and television, cable television, and satellite radio.
 - Limited reach
 - Americans spend "only" 11 percent of time watching TV (American Time Use Survey, 2007)
 - 14 percent of Americans 12 years and older listen to commercial radio each day (Arbitron, 2007)
 - 7 percent of Americans 12 years and older listen to NPR in any given week (NPR, 2008)
 - Over 85 percent of the US population subscribes to wireless service.



CMAS Reference Model





CMAS RDT&E Initiatives

- Requirements effort with people authorized to initiate warnings
- Design and Development
- Working collaboratively with stakeholders to address needs through Action Teams
- R&D for future technologies and capabilities
- Research into public response to warnings
- Innovation in ability to dynamically target warnings to specific geographic areas





Homeland Security